SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| in that is a life of the pointing i broom |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RESMED INC</u> [ RMD ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner    |  |                       |  |  |  |
|---|---------|----------|--|---|--|-----------------------|--|--|--|
| (Last)<br>RESMED INC.                     | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/18/2021               | X   | Director<br>Officer (give title<br>below)<br>Chief Financial C | Other (specify below) |  |  |  |
| 9001 SPECTRUM CENTER BLVD.                |         |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |  |                       |  |  |  |
| (Street)<br>SAN DIEGO                     | СА      | 92123    |  |   | Form filed by More than Or                                     | 0                     |  |  |  |
| (City)                                    | (State) | (Zip)    |  |   |  |                       |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                   | Following Reported                                      | (Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|---|---|--|---------------|-------------------|---|------------|-------------------------|
|                                 |  |   | Code                                    | v | Amount   | (A) or<br>(D) | Price             | <ul> <li>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul> |            | (Instr. 4)              |
| ResMed Common Stock             | 01/18/2021                                 |   | A                                       |   | 1,822(1)   | Α             | \$ <mark>0</mark> | 102,422   | D          |                         |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | Derivative |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|--|---|-----------------------------|---|------------|-----|--|--------------------|--|-------------------------------------|---|--|--|---------------------------------------|
|  |   |  |   | Code                        | v | (A)        | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |                                       |

## Explanation of Responses:

1. Represents performance-based restricted stock units granted on November 21, 2019. The shares were earned on January 18, 2021, when the compensation committee certified that the performance metrics were met for this portion of the grant. The earned units remain subject to time-vesting requirements, and are scheduled to vest on the three-year anniversary of the grant, assuming continued service through the vesting date.

| Brett Sandercock, Chief Financial | 01/19/2021 |  |  |
|-----------------------------------|------------|--|--|
| Officer                           | 01/19/2021 |  |  |
| ** Signature of Reporting Person  | Date       |  |  |

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.